Crimes, Compliance & Controls

16th Annual

Money Laundering in Canada 2018

September 17-19, 2018

The Prince George Hotel
Halifax, Nova Scotia, Canada

Join us for the 16th instalment of Canada's premier event in the field of money laundering compliance. The theme of Money Laundering in Canada 2018 is ***Crimes, Compliance & Controls***. Presenters from a broad range of backgrounds will explore the crimes that lead to money laundering, sector red flags, and compliance best practices.

Attend sessions on current international trends and how Canada is a player within that context. Hear the latest updates from FINTRAC as well as the highly publicized B.C. money laundering probe, and have your questions answered by a panel of experts, including former regulators. Workshops will cover a range of topics, including: trade-based money laundering; human trafficking; cybersecurity; Bitcoin and cryptocurrency; the application of regulatory requirements; threat financing; human trafficking; and emerging financial crime risks, including marijuana legalization.

Who Should Attend?

- Banks
- Credit Unions
- Caisses Populaires
- Securities & Investment Dealers
- Mutual Fund Companies
- Precious Metal/Gem Dealers
- Credit Card Suppliers
- Life Insurers
- Foreign Exchange Dealers
- Money Service Businesses
- Law Enforcement
- Regulatory Officials
- Real Estate Developers
- ATM & SVC Providers
- Lawyers
- Accountants
- Real Estate Professionals
- Financial Planners
- Casinos & Gaming
- Trusts & Loans
- Security Officers

Conference Sponsors:

CANADIAN ANTI-MONEY LAUNDERING INSTITUTE
CAMLI

ABC Solutions, Inc.
Intelligent Compliance Solutions

VERAFIN

THE CANADIAN MONEY SERVICES BUSINESS ASSOCIATION
ASSOCIATION CANADIENNE ENTREPRISES DE SERVICES MONÉTAIRES

In a red brick building in downtown Halifax, this stylish hotel is a two-minute walk from the World Trade & Convention Centre and five minutes from the waterfront.

Agenda at a Glance

	Monday, September 17	
11:00 - 12:30 PM	Registration & Networking	
1:00 - 1:15 PM	Welcome & Opening Remarks	
1:15 - 2:15 PM	Plenary A - Cash and Casinos	PA
2:15 - 2:45 PM	Coffee & Networking Break	
2:45 - 4:15 PM	Workshop 1 - Cybersecurity Threats, Risks, and Their Management Workshop 2 - Managing Emerging Financial Crimes Risks in 2018	WK1 WK2
5:00 - 6:30 PM	Reception	

	Tuesday, September 18	
7:45 - 8:45 AM	Breakfast & Networking	
9:00 - 10:30 AM	Workshop 3 - Internal Controls: Improving Organizational Performance and Governance Workshop 4 - Marrying Regulatory Compliance with Workplace Compliance Applications	WS3 WS4
10:30 - 11:00 AM	Coffee & Networking Break	
11:00 - 12:30 PM	Workshop 5 - Illicit Trade Flows: What They Hide and How to Control Them Workshop 6 - Bitcoin Compliance: The Next Level	WS5 WS6
12:30 - 1:30 PM	Luncheon	
1:30 - 3:00 PM	Workshop 7 - Implications of FinTech Developments Workshop 8 - Threat Financing: Methods and Indicators	WS7 WS8
3:00 - 3:30 PM	Coffee & Networking Break	
3:30 - 4:30 PM	Plenary B - Compliance: Your Turn to Ask Questions and Get Answers	PB
5:00 - 6:30 PM	Reception	

	Wednesday, September 19	
7:40 - 8:45 AM	Breakfast & Networking	
8:45 - 9:00 AM	Announcements	
9:00 - 10:30 AM	Workshop 9 - Human Trafficking Typologies & Best Practices for Investigation Workshop 10 - De-Risking Threats: Guidelines for Establishing an Effective AML/CTF Compliance Program.	WS9 WS10
10:30 - 11:00 AM	Coffee & Networking Break	
11:00 - 12:00 PM	Plenary C - FINTRAC	PC
12:00 - 12:15 PM	Closing Remarks	

September 17, 2018

PLENARY A

1:15 - 2:15 PM

Cash and Casinos

Peter German Q.C.
Peter German & Associates Inc.
Vancouver, BC

Former Deputy Commissioner of the RCMP and Correctional Service of Canada, Peter German, has been tasked by the B.C. Attorney General to take the lead in the review of possible money laundering in that province's gambling industry. This opening plenary session will consider the allegations of organized crime and money laundering in B.C.'s casinos, the possible connection to the real estate sector, as well as the tapestry of compliance, regulation, and enforcement in Canada's casino industry.

WORKSHOP 1

2:45 - 4:15 PM

Cybersecurity Threats, Risks and their Management

Bertrand Milot
Richter Canada
Montreal, QC

In 2018, when trying to identify information security or data security, it is necessary to talk about cyber-crime and cyber-fraud. It is, therefore, important to understand the targets and the victims and what differentiates them. With examples and real cases, a dark web demo, find out what cyber-criminality really is and what it means for your organization. What is this jungle out there and how should you understand and respond to it? What can cyber-fraud do? This presentation focuses on the objectives, issues, and impacts of cybercrime on your day-to-day organization's schedule, including your clients, employees, and business partners.

WORKSHOP 2

2:45 - 4:15 PM

Managing Emerging Financial Crimes Risks in 2018

Jean-Francois Lefebvre
AML Compliance Expert
Montreal, QC

Detecting suspicious activity pertaining to money laundering and other financial crimes is an ongoing challenge for reporting entities throughout the country. Emerging financial crimes force organizations to keep their AML Risk Assessment up to date and always be ready for the detection of new patterns of illicit transactions and behaviours. This session aims at discussing this challenge and the approach that should be taken in managing emerging financial crimes risks.

September 18, 2018

WORKSHOP 3 — **Internal Controls and Managing Risks**

9:00 - 10:30 AM

Lisa Freeman
World Remit Inc.
Montreal, QC

With the constant influx of new and changing regulations and increasing number of enforcement actions, meeting evolving compliance obligations can be challenging without a framework of good business practices. Developing effective internal controls is key to meeting higher expectations of regulators and achieving your company's goals and objectives. In this session, the presenter will discuss how control environment, risk assessment, control activities, information and communication, and monitoring can help reduce these risks.

WORKSHOP 4 — **Marrying Regulatory Compliance with Workplace Compliance Applications**

9:00 - 10:30 AM

Angelo Coppola
Globex 2000 Financial Services, Inc.
Montreal, QC

When we think of compliance, we think of the policies and procedures, risk assessment, or training that is put in place in order to meet various regulatory requirements, such as reporting, client identification, etc. Control is what ensures that we are actually adhering to the policies and procedures, that the risk assessment is working, and that the training program is effective. Relying solely on the biennial review is not enough. This presentation will focus on controls that reporting entities should have in place to ensure that the compliance program is effective.

WORKSHOP 5 — **Illicit Trade Flows: What They Hide and How to Control Them**

11:00 AM - 12:30 PM

Dr. Nikos Passas
Northeastern University
Boston, MA

In this workshop, delegates will learn about the methods through which trade is used to hide not only illegal shipments but also illicit financial flows and crimes ranging from money laundering, bribery, tax evasion, as well as proliferation of weapons of mass destruction (WMD) or sanctions violations. Dr. Passas will also explore ways in which informal financial channels intersect with trade transactions. He will focus on the application of ways to detect abusive patterns and transactions, and more importantly, the need to think of compliance not only as a way of staying out of trouble with the law but also focusing on the ultimate goals of AML/CFT rules, namely the detection of criminal activities and the proper collaboration between private and public sector actors.

September 18, 2018

WORKSHOP 6

Bitcoin Compliance: The Next Level

11:00 AM - 12:30 PM

Kevin Lo
Froese Forensic Partners Ltd.
Toronto, ON

Looking beyond the buzzwords and sensational headlines, this workshop will discuss the nuts and bolts of cryptocurrency and its underlying technology, Blockchain. The presenter will explore the current trends, adoption, and latest concerning both the cryptocurrency and Blockchain. Navigating through the hype, this workshop will discuss what is really happening in the application and commercialization of cryptocurrency and Blockchain Technology. There is no prerequisite and tech knowledge required to join this session. Just an open-mind.

WORKSHOP 7

How Emerging Technology Trends Impact Anti-Money Laundering Compliance

1:30 - 3:00 PM

Keith Furst
Data Derivatives
New York, NY

The pace of technological change today is staggering and many of these exciting innovations have made their way into financial services. FinTech or financial technology is a term used to describe the use of innovative technology in the financial services sector. FinTech encompasses a wide array of developments, but some key trends to note are: big data, distributed ledger technology (DLT), robotic process automation (RPA), artificial intelligence (AI), and cloud computing. The rapid adoption, or for that matter, the lack thereof, creates specific risks and opportunities for the financial services sector. The emergence of new technology has also created new environments or mediums of value transfer such as cryptocurrencies (Bitcoin). All of this is relevant to the compliance community because assessing AML risk must evolve to keep pace with emerging trends in order to ensure signals or risk attributes are not overlooked.

WORKSHOP 8

Threat Financing: Methods and Indicators

1:30 - 3:00 PM

Julian Arend
About Business Crime Solutions, Inc.
Toronto, ON

Identifying international threat financing involves expanding the usual focus on jihadi terrorist groups to encompass actions by rogue regimes and the activities of certain international criminal enterprises, all of which require the diversion of licit and illicit funds to maintain their military, criminal, and terrorist infrastructures. This workshop identifies the key threats and the main actors involved in these threats. How do they operate at local and international levels? Where does the money come from? What are the structures, methods, and networks deployed to collect and move currency to fund their various criminal projects? The workshop also examines the legal and financial countermeasures in place, identifying key indicators to assist with the detection and reporting of potential threats.

September 18, 2018

PLENARY B

3:30 - 4:30 PM

Compliance: Your Turn to Ask Questions and Get Answers

Angelo Coppola
Globex 2000 Financial Services, Inc.
Montreal, QC

Lisa Freeman
World Remit Inc.
Montreal, QC

Jean-Francois Lefebvre
AML Compliance Expert
Montreal, QC

Delegates will have a unique opportunity to ask compliance management questions to a panel of former FINTRAC regional compliance officers who now use their regulatory expertise as CAMLOs and consultants in the private sector. This exposure to both sides of the compliance management fence has created a perfect opportunity for delegates to ask questions and get responses that reflect what the legislation expects with an understanding of the nuances that go with applying those requirements in a reporting entity environment. Forum attendees are encouraged to bring questions with them that they can pose to the panel.

September 19, 2018

WORKSHOP 9

9:00 - 10:30 AM

Human Trafficking Typologies & Best Practices for Investigation

Dr. Charles Robertson
Verafin
St. John's, NL

This workshop provides an overview of the crime of human trafficking and outlines best practices for investigations, including reviewing financial red flag indicators and human trafficking typologies from industry advisories and report, outlining insights from industry experts into the complexities of human trafficking through the context of real-life cases, and providing an overview of current strategies and best practices employed by financial institutions to uncover victims of human trafficking.

September 19, 2018

WORKSHOP 10

De-Risking Threats: Guidelines for Establishing an Effective AML/TF Compliance Program

9:00 - 10:30 AM

Don Perron
Froese Forensic Partners Ltd.
Toronto, ON

There is no doubt that money laundering and terrorist financing threatens the fabric of our society in many ways, such as funding a tragic terrorist attack or undermining our economy. Although both illegal activities differ in purpose and intent, they both share a common thread in that the illegal activity's foremost resolve is to mask the true source of money. It is a key element in the achievement of their missions and they will continuously exploit our business operations to attain this end. As responsible corporate citizens, we have an obligation to do our part in mitigating the use of our financial systems to support illegal behaviors. Having an effective AML/TF Compliance Program is key. During this workshop, the presenter will discuss current laundering trends, techniques, and risks being observed in Canada, and effective compliance strategies to mitigate these. Interaction with the audience to illicit industry-specific discussion will be encouraged.

PLENARY C

FINTRAC: Updates, Clarifications and Reinforcing Key Messages

11:00 AM - 12:00 PM

Carrie Hagerman
FINTRAC
Ottawa, ON

Using her focused and interactive style, Carrie Hagerman will return to provide an overview of the proposed regulatory changes that were announced by Department of FINANCE this June. The proposed regulatory changes include modifications to client identification, politically exposed persons, 24 hour rule, and the timing of STR requirements to name a few. She will also provide an update on private sector-led operational Projects Protect, Chameleon and Guardian, and the positive results observed by FINTRAC.

The Canadian Anti-Money Laundering Institute will be offering two workshops in conjunction with Money Laundering in Canada 2018. Visit www.camli.org to learn more!

CANADIAN ANTI-MONEY LAUNDERING INSTITUTE
CAMLI

Workshop 1 - Banking Marijuana and MSB's: Best Practices
September 17, 2018, 7:30am - 11:45am

Workshop 2 - Asset Tracing: A Civil Methodology to Recovering Your Funds
September 19, 2018, 12:30pm - 5:00pm

General Information

Hotel

The Prince George Hotel will be the host venue for the 2018 instalment of Money Laundering in Canada. Attendees are encouraged to make use of the special rate at the Grand Pacific. While slightly lower rates may be found elsewhere in the city, the conference hotel, as part of their agreement with the Money Laundering in Canada organizers, provides meeting space and additional services that help keep the registration fees reasonable.

All room reservations can be made in the following ways:

1. Online at https://reservations.travelclick.com/13608?groupID=2082607

For 2018, we have arranged with the hotel special room rates for our delegates. Specifically, the Prince George Hotel is offering rates starting at $219/night for all delegates. These rates apply from Thursday, September 13th through to Saturday, September 22nd. This allows you to enjoy Halifax for a few days at our special rates. Reserve early as our hotel room allotment typically sells out and we fully expect that to be the case this year.

Registration

Money Laundering in Canada is designed to provide maximum value, with additional savings for early bird registrations, multiple registrations from the same organization, and members of the Canadian Anti-Money Laundering Institute (CAMLI).

- Extra Early Bird - save 10% off the Early Bird Rate when you register and pay by March 31, 2018
- Early Bird Special Rate with registration and payment received by June 30, 2018: $1400.00 + HST
- Registering 3 or more delegates from the same organization at the same time and received by the early bird date: $1325 + HST per registrant
- CAMLI member discount (if applicable): save $75 on Early Bird or Full Registration rates
- Full Registration: $1550 + HST
- Fee for registering 3 or more delegates from the same organization at the same time AFTER June 30, 2010: $1475 + HST per registrant

Your Fee Includes:

All keynote and plenary sessions and workshops; all morning and afternoon coffee breaks throughout the conference; two breakfasts and one lunch; and the networking receptions scheduled for Monday and Tuesday evenings. Speakers are subject to change without notice; updated speaker assignments can be found at www.moneylaundering.ca and in our LinkedIn group

Continuing Education Credits

Participants who are eligible to earn credits for attending this conference should check with their credit granting organization to confirm what credits are available. A participation certificate will be provided to all delegates on the final day.

Cancellations

Refunds, less an administrative fee of $300.00, will be made for cancellations received in writing by June 30, 2018. No refunds will be given after that date but delegate substitutions are permitted up to and including September 17, 2018.

Conference Dress

Casual business attire is recommended for the sessions.

Conference Materials and Language

All sessions will be conducted in English only. Participants are invited to collect their materials at the Registration Desk on the ground floor of the Prince George Hotel at the following time:
Monday, September 17, 2018, 11:00 am - 1:00 pm

Contacts

For additional information on the program, please contact About Business Crime Solutions, Inc.; Telephone: 613-283-2862; Fax: 613-283-7775 or; e-mail: info@moneylaundering.ca

REGISTRATION FORM

Delegate Name First Name for Badge:

Title CAMLI Member # (if applicable):

Company/Org.

Address

City Province/State: Postal/ZIP Code:

Telephone E-Mail:

Prices are per delegate and include 15% HST	Early Bird - paid in full by June 30th	Full Registration
Regular Delegate	$1,610.00	$1,782.50
CAMLI Member	$1,523.75	$1,696.25
Multi registration *3 or more	$1,523.75	$1,696.25
Multi registrant price for CAMLI Member	$1,437.50	$1,610.00

Monday Reception 5:00 – 6:30 pm Attending: ☐ YES ☐ NO

Tuesday Reception 5:00 – 6:30 pm Attending: ☐ YES ☐ NO

Payment Options

☐ Cheque payable to About Business Crime Solutions Inc.

☐ Please charge my/our American Express, Mastercard, Visa, or Discover account

Total Payment Amount **		Please Include Guest Reception Ticket cost	
Card Number		Exp. Date	/
Name on Card			
Signature			

**For multiple registrants, please submit a separate registration form for each delegate from the same organization.

The two receptions are open to all delegates. Any delegates who would like to bring a guest to the reception(s) can purchase an additional ticket for $40/guest. Please identify how many guest tickets you would like in the space below and add that amount to your payment.

I am requesting the following number of guest tickets to the receptions:

Evening Reception, Monday, Sept 17 _____ @ $40.00 per ticket $_____

Evening Reception, Tuesday, Sept 18 _____ @ $40.00 per ticket $_____

Total Additional Charges (includes taxes) $_____

Food Allergies

☐ YES (please specify):

Plenary/Workshop Selections

To ensure your experience is tailored to your needs and interests, please review the conference agenda and make your desired choice from each grouping.

Monday, September 17

Workshop 2:45 - 4:15pm

☐ WK1 ☐ WK2

Tuesday, September 18

Workshop 9:00 - 10:30 am

☐ WK3 ☐ WK4

Workshop 11:00 - 12:30 pm

☐ WK5 ☐ WK6

Workshop 1:30 - 3:00 pm

☐ WK7 ☐ WK8

Wednesday, September 19

Workshop 9:00 am - 10:30 am

☐ WK9 ☐ WK10

Register by FAX, telephone, or mail

Please copy this form to register multiple participants from the same organization separately

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Phone: 613-283-2862

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